



Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/574,738

Filing Date April 4, 2006

First Named Inventor Takeo Eguchi et al.

Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number 075834.00567

## ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Form PTO/SB/08A, cited references and a postcard.

- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
- ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

### Remarks

The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No.50-3891

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Rocky, Depke, Lyons & Kutzinger, LLC  
233 S. Wacker Drive, Suite 1450, Chicago, IL 60606

Signature

Printed name Robert J. Depke

Date

Reg. No. 37,607

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Robert J. Depke

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Appl. No.:** 10/574,738      **Confirmation No.:** Unassigned  
**Applicant:** Takeo Eguchi et al.  
**Filed:** April 4, 2006  
**TC/A.U.:** Unassigned  
**Examiner:** Unassigned  
**Docket No.:** 075834.00567  
**Customer No.:** 33448

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit legible copies of the patents listed on the accompanying form PTO/SB/08A.

**CERTIFICATION UNDER 37 C.F.R. §1.97(e)**

Applicants hereby certify, as is required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each item of information contained in the Information Disclosure Statement is submitted prior to receipt of a First Office Action on the merits and thus no fee is due for consideration of this document.

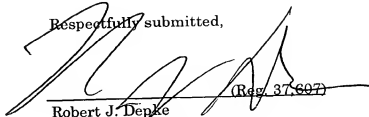
The undersigned also points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicants reserve the

right to challenge the status of any such document that the office determines to be prior art.

Date:

12/14/06

Respectfully submitted,



(Reg. 37-607)

Robert J. Depke  
ROCKEY, DEPKE, LYONS  
& KITZINGER, LLC  
233 S. Wacker, Suite 5450  
Chicago, IL 60606  
(312) 277-2006

Type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002, OMB 0651-0031  
 U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/APTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number 10/574,738  
 Filing Date April 4, 2006  
 First Named Inventor Takeo Eguchi et al.  
 Group Art Unit ~~Not Yet Assigned~~ 2853  
 Examiner Name ~~Not Yet Assigned~~ G. Mruk  
 Attorney Docket Number 075834.00567

## U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	US1					
	US2					
	US3					
	US4					
	US5					
	US6					
	US7					
	US8					
	US9					
	US10					
	US11					
	US12					
	US13					
	US14					
	US15					
	US16					
	US17					
	US18					
	US19					
	US20					

## FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>2</sup>	Number <sup>2</sup>				
/G.M.	F1	JP	63-019263	Seiko Epson Corp.	01/27/1988		
	F2						
	F3						
	F4						
	F5						
	F6						
	F7						
	F8						
	F9						
	F10						

Examiner Signature

/Geoffrey Mruk/

Date Considered

04/05/2008

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Unique citation designation number. <sup>3</sup>See attached Kinds of U.S. Patent Documents. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**LIST FOR IDS**

<b>SONY REF.</b>				<b>US SERIAL NO.</b>		<b>IDS TIMING</b>	
<b>S04P1380US00</b>				<b>0</b>		<b>OA (JP)</b>	
<b>CORRESPONDING JAPANESE PATENT APPLICATION</b>							
<b>JP</b>		<b>2003</b>		<b>348709</b>		<b>[ATG-CASE]</b>	
<b>PATENT DOCUMENT(S)</b>						<b>ENGLISH EQUIVALENT</b>	
1	JPA	HEI	06 - 312506	( JPA	1994 312506	)	
2	JPA		2002 - 326354	( JPA	2002 326354	)	
3	JPA		2000 - 158657	( JPA	2000 158657	)	
4	JPA	SHO	63 - 019263	( JPA	1988 019263	)	
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
<b>NON-PATENT DOCUMENT(S)</b>						<b>ENGLISH</b>	
1							
2							
3							
4							
5							
6							